

## Audit Committee

11 January 2018

7.00 pm at the

Civic Offices, St Nicholas way, Sutton, SM1 1EA



To all members of the Audit Committee:-

Chair: Councillor David Hicks  
Vice-Chair: Councillor Richard Broadbent  
Councillors: Kevin Burke and Ali Mirhashem

Substitutes: David Bartolucci, Daniel Sangster and Neil Garratt

This is a Council meeting held in public. Additional representations are at the invitation of the Chair of the Committee. If you are a relevant organisation and you wish to submit representations on a proposal contained within the reports to this agenda please submit a request via Committee Services three working days before the meeting date.

Members of the public also have a statutory entitlement to record meetings to which they are admitted, subject to it not disrupting the meeting. They should record only the meeting and not the audience. Anyone proposing to make a recording must inform the Committee Services contact below before the meeting. Mobile devices can interfere with the wireless microphones and induction loop, and if that is the case the Chair may require that such devices are turned off.

**PLEASE NOTE:** Any decision taken at this meeting does not become definitive until 10am on the third working day after the meeting. Any four members of the Council may notify the Chief Executive by then if they require a decision to be reviewed by the appropriate committee at its next meeting. Please contact the Committee Services representative shown on the front page for further information.

Niall Bolger  
Chief Executive  
Friday, 29 December 2017

*Enquiries to: Sharon Major, Committee Services Officer Tel: 020 8770 4990, Email: [committeeservices@sutton.gov.uk](mailto:committeeservices@sutton.gov.uk)*

*Copies of reports are available in large print on request*

# A G E N D A

1. **Welcome and Introduction**
2. **Apologies for absence**
3. **Declaration of interests**
4. **Minutes of the last meeting** 1 - 8

To approve as a correct record the Minutes of the meeting held on 21 September 2017.
5. **Member Development - Ethical Standards**
6. **Internal Audit - Progress Report** 9 - 24

This report summarises Internal Audit's progress in delivering the Annual Audit Plan up to November 2017.
7. **Treasury Management Quarterly Report** 25 - 38

This report is the treasury management review for the quarter ended 30 September 2017. It summarises the Council's Treasury Management operations during the first six months of 2017/18.
8. **Annual Audit Letter 2016/17** 39 - 58

The Annual Audit Letter for 2016/17 formally reports the outcome of the external audit of the Council's financial statements for the year and the auditor's opinion on the Council's arrangements to secure value for money.
9. **Regulation of Investigatory Powers Act 2000 (RIPA) Update** 59 - 64

This report updates the Audit Committee on the implementation of the Council's Social Networking Policy; the outcome of the recent RIPA Inspection undertaken by the Office of Information Commissioner; and the RIPA update training for Authorised Officers within the Council.
10. **Annual Insurance Review 2016/17** 65 - 68

The report provides assurance that the insurance service is operating efficiently and effectively as part of the overall risk management framework of the Council as well as acting on a commercial basis providing services to other local authorities and generating new income.

**11. Risk Register Review** 69 - 80

In order to ensure effective corporate oversight of key organisational risks the Council's recently updated risk management strategy requires the Corporate Risk register to be reviewed at Audit Committee on a regular basis.

**12. Audit Committee Work Plan** 81 - 82

**13. Exclusion of the press and public**

The following motion should be moved, seconded and approved if the committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 3 Schedule 12A of the Local Government Act 1972.”

**14. Any urgent items brought forward at the discretion of the Chair**

The Chair must approve the reason for urgency.

**15. Date of next meeting**

The next meeting of the Audit Committee will take place on 29 March 2018 at 7:00pm.