

## APPENDIX D

### INTEGRATED IMPACT ASSESSMENT FORM FOR SUSTAINABILITY APPRAISAL SCOPING REPORT (LOCAL PLAN) HEB COMMITTEE 23 JUNE 2015

Integrated Impact Assessments (IIAs) are a tool that the Council's uses for assessing the possible impact (positive and negative) of policies, service delivery plans, strategies, projects, programmes, commissioning activities or other initiatives. IIAs are a mechanism through which the Council demonstrates compliance with the [Equality Act 2010](#), [Public Services \(Social Value\) Act 2012](#), and [Localism Act 2011](#).

A comprehensive IIA will facilitate evidence-based and informed decision making. Data to complete an IIA should be collected and considered from the beginning to the end of the project cycle. An IIA for each project will be revised and have several iterations as the project/programme cycle is scoped, developed, implemented and reviewed.

It is an expectation that a completed IIA is an appendix to all reports to committee where there will be an impact on employees, the organisation, customers and/or residents. Where a project is not being submitted to Committee, an IIA should be provided to the Policy & Customer Service Team.

**In all instances Section 1: Aim & Demographics, Section 2: Equality & Diversity, Section 3: Health & Wellbeing and Section 6: Action & Publication must be completed. Section 4: Local Economy and Section 5: Sustainability must be completed if they are relevant to the proposal.**

For more information on IIA's please see the [intranet page](#) or the Policy & Customer Service Team (Key contacts Fiona Cameron 020 8770 6181; Rebecca Escott-New 020 8770 6880).



**SECTION 1: AIMS AND DEMOGRAPHICS**

<p><b>A. IS THIS A NEW POLICY OR A REVIEW OF AN EXISTING POLICY OR SERVICE?</b></p> <p>Sutton’s new Local Plan will replace and update the current local development framework (LDF) for the future growth and development of the Borough, consisting of the Core Planning Strategy (December 2009), the Proposals Map (December 2009), the Site Development Policies Development Plan Document (DPD) (March 2012) and a range of Supplementary Planning Documents (SPDs).</p>
<p><b>B. WHAT ARE THE AIMS AND PURPOSE OF THIS PROPOSAL?</b></p> <p>The purpose of sustainability appraisal (SA) is to identify the key sustainability issues to be addressed by the Local Plan, develop policy options and report on the significant impacts of emerging preferred policy options and alternatives on the environmental, economic and social objectives of sustainable development.</p> <p>The SA Scoping Report (Appendix A) addresses the initial stage of SA for Sutton’s Local Plan. It seeks to define the scope of the appraisal in terms of the national, regional and local policy context, the local evidence base, the key sustainability issues and the basis for assessing the likely impacts of alternative options (the proposed SA Framework is included as Appendix B).</p>
<p><b>C. WHICH OF THE GEOGRAPHIC AREAS DOES THIS PROPOSAL AFFECT?</b> (Insert any specific wards or state all borough)</p>
<p>All Borough</p>



## **SECTION 2: EQUALITY AND DIVERSITY**

<p><b>A. WHICH STAKEHOLDER GROUP OR GROUPS DOES THIS PROPOSAL AFFECT? (E.G. STAFF AT LBS, RESIDENTS, THIRD AND VOLUNTARY SECTOR)</b></p>
<ul style="list-style-type: none"> <li>• Borough Residents</li> <li>• Local Businesses</li> <li>• Statutory Bodies (e.g. Environment Agency)</li> <li>• Voluntary Sector</li> <li>• LBS Staff</li> </ul>
<p><b>B. WHOSE NEEDS IS THE PROPOSAL DESIGNED TO MEET?</b> (Include any specific impact on protected group members)</p>
<p>The sustainability appraisal (SA) will evaluate the significant impacts of emerging preferred policy options and alternatives on the environmental, economic and social objectives of sustainable development. In doing so the SA will inform the preparation of Local Plan policies and proposals to ensure that competing pressures for residential and commercial development are managed in a sustainable manner while having regard to protecting the Borough's environment. The SA will also incorporate Equalities Impact Assessment (EqIA) to ensure that the Local Plan does not discriminate against specific equalities target groups and, where possible, make a positive contribution to improving quality of life for local communities.</p>
<p><b>C. WHAT EVIDENCE HAS BEEN COLLECTED TO INFORM THIS PROPOSAL?</b></p>
<p>Section 4 of the SA Scoping Report provides an overview of current environmental, social and economic baseline conditions within the Borough. This is based on initial local evidence gathering undertaken by the Strategic Planning Team, or by consultants working on behalf of the Council, as part of the initial stages of the Local Plan review. Population and household projections along with other socio-demographic data (e.g. social deprivation) has been obtained from the UK Census 2011, the Office for National Statistics (ONS), the GLA Intelligence and data published by the Environment Agency or Government departments such as the CLG or DECC.</p>
<p><b>D. WHAT EQUALITY-RELATED INFORMATION, FOR EXAMPLE THROUGH CONSULTATION WITH STAKEHOLDERS, HAS BEEN GATHERED ON THIS PROPOSAL?</b></p>
<p>The 'social baseline', included in Section 4 of the SA Scoping Report 4.14 to 4.62, provides a comprehensive review of equalities related information in relation to issues such as ethnicity, gender, age, social deprivation and fuel poverty.</p>
<p><b>E. IN WHAT WAYS MIGHT THE PROPOSAL IMPACT POSITIVELY OR NEGATIVELY ON SOME GROUPS OF PEOPLE?</b> (Please ensure you address ethnicity, disability, gender, age, religion and sexual orientation. You can also include people with caring responsibilities.)</p>
<p>The proposed Sustainability Appraisal Framework, set out in Section 6 of the SA Scoping Report, identifies the following core sustainability objective, sub-objectives and specific appraisal criteria</p> <p><b>(16) EQUALITIES, SOCIAL INCLUSION AND COMMUNITY SAFETY</b> <i>"To reduce social exclusion, address inequalities and improve accessibility to essential services and community facilities for all"</i></p> <p><b>16.1 Equalities Impact Assessment (EqA)</b> To promote equal opportunities and address inequalities affecting one or more of the following equalities</p>



target groups: Women; Black & minority ethnic (BME); Older people; Young people and children; Disabled people; Lesbians, gays, bi & transgender people; Different faith groups; and social deprivation  
**Question: Will the policy or proposal have a positive or negative impact on one or more of the equality target groups or, improve equal opportunities and/or relationships between groups?**

**16.2 Balanced Communities**

To promote mixed and balanced communities throughout the Borough

**Question: Will the policy or proposal contribute to the creation of mixed and balanced communities?"**

**F. WHAT WILL BE DONE TO PROMOTE EQUALITY OF OPPORTUNITY AS PART OF THIS PROPOSAL?**

Yes - the proposed Sustainability Appraisal Framework (Objectives 9 to 12) incorporates the following specific appraisal criteria

**(9) ACCESS TO HOUSING**

- *Will the policy or proposal provide for sufficient net additional dwellings to meet and exceed Borough housing targets over the period of the Local Plan (10-15 years)*
- *Will the policy or proposal maximise the provision of affordable housing and ensure that an appropriate mix of sizes of affordable units is provided in a range of locations to best meet identified needs?*

**(10) ACCESS TO EDUCATION AND HEALTH**

- *Will the policy or proposal support the provision of new schools and expanded school capacity as appropriate to accommodate the need for additional primary and secondary school places?*
- *Will the policy or proposal support the provision of additional healthcare facilities as appropriate to meet the identified needs in different parts of the Borough?*

**(11) ACCESS TO SPORTS AND LEISURE**

- *Will the policy or proposal support the provision of additional sports and leisure facilities as appropriate to serve the needs of different parts of the Borough?*
- *Will the policy or proposal support the provision of additional playspace facilities as appropriate to address identified deficiencies across the Borough?*

**(12) ACCESS TO SUSTAINABLE TRANSPORT**

- *Will the policy or proposal reduce the need to travel?*
- *Will the policy or proposal reduce increase the proportion of trips made by public transport, cycling and walking?*



### **SECTION 3: HEALTH AND WELL BEING**

Please note: Data from the Joint Strategic Needs Assessment can be used to fill in this section of the form, which can be accessed here: <http://www.suttonjsna.org.uk/>

**A. IN WHAT WAY COULD THIS PROPOSAL POSITIVELY OR NEGATIVELY IMPACT ON THE PHYSICAL AND/OR MENTAL WELLBEING OF RESIDENTS? IF THERE IS A NEGATIVE IMPACT WHAT ACTION WILL BE TAKEN TO MITIGATE THIS? WHAT EVIDENCE HAS BEEN OR WILL BE COLLECTED?**

Yes - The proposed Sustainability Appraisal Framework (sustainability objectives 15.1 to 15.3) incorporates the following specific appraisal criteria:

**(15) SOCIAL DEPRIVATION, HEALTH DEPRIVATION AND FUEL POVERTY**

*"To reduce social deprivation, health deprivation and fuel poverty"*

**15.1 Social Deprivation**

To reduce poverty, social exclusion, deprivation and health inequalities within the Borough  
***Will the policy or proposal reduce poverty and social exclusion and health inequalities, particularly within relatively deprived areas of the Borough?***

**15.2 Health deprivation**

To improve the health of the population overall, reduce health inequalities and promote healthy lifestyles  
***Will the policy or proposal improve the health of the population overall, reduce health inequalities and promote healthy lifestyles?***

**15.3 Fuel Poverty**

To address fuel poverty within the Borough in support of the objectives of the Borough Fuel Poverty Strategy

***Will the policy or proposal tackle fuel poverty in support of the objectives of the Borough Fuel Poverty Strategy?***

**B. IN WHAT WAY COULD THIS PROPOSAL HAVE A POSITIVE OR NEGATIVE IMPACT ON AN INDIVIDUAL'S OPPORTUNITY TO IMPROVE THEIR OWN HEALTH AND WELLBEING? IF THERE IS A NEGATIVE IMPACT WHAT ACTION WILL BE TAKEN TO MITIGATE THIS? WHAT EVIDENCE HAS OR WILL BE COLLECTED?**

As outlined above, the SA process will evaluate the impacts of emerging Local Plan policy options on a range of health and well-being indicators

**C. IN WHAT WAY COULD THIS PROPOSAL POSITIVELY OR NEGATIVELY IMPACT DISPROPORTIONALLY ON THE HEALTH AND WELLBEING OF ONE OR MORE DIFFERENT COMMUNITIES? IF THERE IS A NEGATIVE IMPACT WHAT ACTION WILL BE TAKEN TO MITIGATE THIS?**

(For example, improve the lives and health of a vulnerable group such as people with learning disabilities, but impact negatively on a different vulnerable group)

The SA process is intended to inform the preparation of Local Plan policies and proposals to ensure that the health and wellbeing of all groups will benefit over the long-term.



**SECTION 4: LOCAL ECONOMY**

IS THIS SECTION RELEVANT TO YOUR PROPOSAL? (CIRCLE)	YES	
<b>A. WILL THIS PROPOSAL AFFECT THE LOCAL JOB MARKET, LOCAL BUSINESS OR LOCAL INWARD INVESTMENT TO THE BOROUGH?</b>		
<p>Yes - The proposed Sustainability Appraisal Framework (sustainability objectives 15.1 to 15.3) incorporates the following specific appraisal criteria:</p> <p><b><u>(15) SOCIAL DEPRIVATION, HEALTH DEPRIVATION AND FUEL POVERTY</u></b>  <i>"To reduce social deprivation, health deprivation and fuel poverty"</i></p> <p><b>15.1 Social Deprivation</b>                      To reduce poverty, social exclusion, deprivation and health inequalities within the Borough  <b><i>Will the policy or proposal reduce poverty and social exclusion and health inequalities, particularly within relatively deprived areas of the Borough?</i></b></p> <p><b>15.2 Health deprivation</b>                      To improve the health of the population overall, reduce health inequalities and promote healthy lifestyles  <b><i>Will the policy or proposal improve the health of the population overall, reduce health inequalities and promote healthy lifestyles?</i></b></p>		
<b>B. DOES THIS PROPOSAL IMPACT UPON EMPLOYMENT OPPORTUNITIES FOR RESIDENTS IN SUTTON?</b>		
<p>Yes - the proposed SA Framework (sustainability objectives 6 and 8) incorporates the following specific appraisal objectives and criteria</p> <p><b><u>(6) EMPLOYMENT</u></b>  <i>"To promote local employment opportunities, skills and training within the Borough"</i></p> <p><b>6.1 Local Employment</b>                      To increase access to local employment opportunities and reduce economic inactivity rates  <b><i>Will the policy or proposal increase access to local employment opportunities and reduce economic inactivity rates amongst Borough residents?</i></b></p> <p><b>6.2 Skills and Training</b>                      To promote education, skills and training to ensure that residents have the skills required to meet the needs of new businesses and future growth sectors  <b><i>Will the policy or proposal promote education, skills and training and reduce the proportion of residents who are 'not in education, employment or training' (NEETs)?</i></b></p> <p><b><u>(8) AREA RENEWAL</u></b>  <i>"To secure inward investment for area renewal programmes in order to deliver environmental and business improvements at neighbourhood level"</i></p> <p><b>8.3 Sutton for Life Project (Belmont)</b> To support the 'Sutton for Life' project by working with the Royal Marsden, the Institute of Cancer Research (ICR) and the Epsom &amp; St Helier University Hospitals NHS Trust secure redevelopment of the site for a range of complementary land uses <b><i>Will the policy or proposal support the delivery of the Sutton for Life project?</i></b></p>		
<b>C. DOES THIS PROPOSAL PROVIDE OPPORTUNITIES FOR THE THIRD SECTOR ORGANISATIONS AND OR LOCAL BUSINESSES IN SUTTON?</b>		
<p>Yes - the proposed SA Framework (sustainability objective 6) incorporates the following specific appraisal objectives and criteria</p> <p><b><u>(5) ECONOMIC GROWTH &amp; INWARD INVESTMENT</u></b>  <i>To attract inward investment and promote sustainable economic growth in support of Sutton's Economic Development Strategy (Opportunity Sutton)</i></p> <p><b>5.1 Business Competitiveness</b>                      To promote a strong, vibrant and sustainable local economy that enhances business competitiveness  <b><i>Will the policy or proposal promote the competitiveness of the local economy by attracting business investment, removing barriers to enterprise, supporting start ups and promoting social enterprises?</i></b></p> <p><b>5.2 Green Economy</b>                      To promote the growth of Sutton's green economy  <b><i>Will the policy or proposal support the growth of new and existing low carbon businesses and assist in greening the local economy?</i></b></p>		



**SECTION 5: SUSTAINABILITY**

<p><b>IS THIS SECTION RELEVANT TO YOUR PROPOSAL? (CIRCLE)</b></p>	<p><b>YES</b></p>	
<p><b>A. IS THIS PROPOSAL SUSTAINABLE MEDIUM OR LONG TERM FOR IMPROVING SERVICES IN SUTTON?</b></p>		
<p>Long term</p>		
<p><b>B. IS THIS PROPOSAL A COST – EFFECTIVE USE OF RESOURCES?</b></p>		
<p>Yes</p>		
<p><b>C. IF APPLICABLE; DOES THIS PROPOSAL HAVE ANY IMPACT ON THE ENVIRONMENT AND ONE PLANET LIVING PRINCIPLES IN SUTTON?</b>                  (For example, does it impact upon reducing waste, encourage the use of carbon neutral modes of transport conserve the environment or encourage the efficient use of water? Please see guidance for further details if this is relevant to your policy or review).</p>		
<p>The core purpose of the sustainability appraisal (SA) process is to ensure that Sutton’s Local Plan is consistent with and actively promotes environmental sustainability objectives and the principles of One Planet Living.</p>		



**SECTION 6: ACTIONS AND PUBLICATION**

<p><b>A. PLEASE RATE THE OVERALL IMPACT IDENTIFIED THROUGH THIS ASSESSMENT WITH THE LEVEL OF ACTION THAT NEEDS TO BE TAKEN (DELETE AS APPROPRIATE):</b></p> <p>1. <b>No major change required</b> (when the assessment has not identified any potential for discrimination or adverse impact and all opportunities to advance equality have been taken.)</p>
<p><b>B. WHAT ACTIONS ARE GOING TO BE TAKEN AS A RESULT OF THIS IIA TO ADDRESS NEGATIVE IMPACTS OR PREVIOUSLY MET UNIDENTIFIED NEEDS?</b></p>
<p>The next stage of the SA process, culminating in the production of the SA Report on 'Preferred Options and issues' in early 2016, will identify a range of mitigation measures (i.e. further policy recommendations) designed to address any potential negative impacts on the environmental, social and economic objectives of sustainable development.</p>
<p><b>C. WHAT DATA MONITORING OR EVALUATION ACTIVITY HAS BEEN PUT INTO PLACE TO MONITOR THE IMPACT OF THIS PROPOSAL?</b></p>
<p>A Local Plan Annual Monitoring Report (AMR) is prepared in December each year and the monitoring procedures are already in place and well established within the Strategic Planning team.</p>
<p><b>D. SIGN- OFF</b> (Your completed IIA must be signed off by a Head of Service)</p>
<p>Andy Webber – Head of Planning and Environmental Sustainability</p>