

Audit Assurance Opinions: 2019/20

AUDIT TITLE	DIRECTORATE	FINAL DATE	ASSURANCE LEVEL				RECOMMENDATIONS			
			FULL	SUBSTANTIAL	LIMITED	NO	Priority 1	Priority 2	Priority 3	
1	Financial Assessments	People	10/4/19	-	Y	-	-	-	-	2
2	Bandon Hill Cemetery	Resources	23/5/19	accounts sign off						
3	Direct Payments (Adults)	People	23/5/19	-	Y	-	-	-	9	1
4	Children's Placements Commissioning and Support	People	4/4/19	-	Y	-	-	1	2	-
5	Monitoring of School Returns	Resources	9/7/19	-	Y	-	-	-	2	-
6	Contract Compliance EHR	EHR	4/6/19	-	Y	-	-	-	-	-
7	John Fisher High School	People	3/6/19	-	-	Y	-	7	8	1
8	Sherwood Park school	People	10/4/19	-	-	Y	-	2	1	-
9	Nonsuch Primary School	People	18/4/19	-	-	Y	-	2	8	-
10	Beddington Infants School	People	10/6/19	-	-	Y	-	3	5	1
11	Hackbridge School - capital project	EHR/People	16/7/19	-	Y	-	-	2	1	-
12	BACS/CHAPS Payments	Resources	14/8/19	-	Y	-	-	-	3	-
13	Payroll	Resources	19/11/19	-	Y	-	-	-	4	3
14	GDPR follow up review	Resources	03/12/19	-	Y	-	-	8	-	-
15	Pension Administration	Resources	29/11/19	-	Y	-	-	1	2	-

16	iTrent system review	Resources	28/11/19	-	Y	-	-	-	1	-		
17	Freedom Passes	CEX	5/11/19	-	Y	-	-	-	3	-		
18	Deprivation of Liberty	People	11/12/19	-	Y	-	-	2	8	1		
19	Transparency Agenda	All	11/12/19	-	Y	-	-	-	4	-		
20	Starters and Leavers	Resources	19/12/19	-	-	Y	-	4	-	-		
21	New Houses Build	EH&R	23/12/19	-	Y	-	-	-	1	-		
22	Risk Management Review	CEX	16/12/19	-	Y	-	-	-	2	-		
23	Register Office- Disposal of certificates	Resources	19/12/19	Advisory report								
24	Apprenticeship	Resources	02/01/20	-	Y	-	-	-	2	-		
25	FOI and SARS	Resources	7/1/20	-	Y	-	-	-	4	-		
26	Transforming Families -December claim	People	8/1/20	Advisory report								
27	Appointeeships and Deputyships	CEX	8/1/20	Advisory								
28	St Cecilia's Primary School	People	17/1/19	-	Y	-	-	-	9	-		
29	Sutton Tuition and Reintegration Centre	People	23/1/20	-	Y	-	-	-	12	-		
30	Bandon Hill Primary	People	4/2/20	-	-	Y	-	3	20	-		
31	Register Office	Resources	5/2/20	-	Y	-	-	-	3	1		
32	Foresters Primary School	People	11/2/20	-	Y	-	-	-	7	1		
33	Canvessor payroll	Resources	7/2/20	Advisory report								
34	Capital programme	Resources	10/3/20	-	Y	-	-	-	4	1		
35	Treasury Management	Resources	10/3/20	-	Y	-	-	-	5	1		
36	Duplicate Payment Match	Resources	complete	Advisory								
37	Better Care Fund Joint Funded scheme	People	5/3/20	-	Y	-	-	-	2	-		

38	Council Tax	Resources	25/3/20	-	Y	-	-	-	-	-
39	Adult Social Care Debt Management	People/Resources	Draft	-	Y	-	-	-	7	1
40	Accounts Receivable	Resources	Draft	-	Y	-	-	1	6	5
41	Gifts and Hospitality	All	Draft	-	Y	-	-	-	3	-
42	Fostering, Adoption, Special Guardianship payments	People	Draft	-	Y	-	-	-	4	-
43	St Philomena High School	People	Draft	-	Y	-	-	-	17	-
44	Network Security	Resources	Draft	-	Y	-	-	-	1	2
45	Budget setting and monitoring	Resources	review							
46	No Recourse to Public Funds	People	review							
47	St Elpheges Foundation school	People	Review							
48	Planning Services	EH&R	In progress-on hold							

TOTALS				0 (0%)	32 (84%)	6 (16%)	0 (0%)	36 (16%)	170 (75%)	21 (9%)
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